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C. hadda da	Substitute for form 1449/PTO		Complete If Known		
Substitute			Application Number	10/696,900	
INFORMATION DISCLOSURE			DISCLOSURE	Filing Date	October 30, 2003
	STATEMENT BY APPLICANT		First Named Inventor	James M. Wilson	
(4	lse as many	sheets a	s necessary)	Group Art Unit	1635
				Examiner Name	Brian A. Whiteman
Sheet	1	of	1	Attorney Docket Number	GNV31AUSA/K1774CON

			U.S. PATENT DOCUMENT	S	
		Document Number		Name of	_
Examiner Cite Initials* No.1	Number-Kind Code ^{2(if} known)	Publication Date MM-DD-YYYY	Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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Examiner Initials*	Cite No.1	Countr	Foreign Patent Documy Code ³ - Number ⁴ -	ment	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
N	EEE		WO 02/063025	A2	08-15-2002	Avigen, Inc.		\vdash

Examiner	ρ	Date	14/	7.	0.1.1
Signature	$ \nu_{\kappa} ^{2} \mathcal{U}$	Considered	417	<i>3</i> N	701
* EXAMINER: Init	lal If reference considered, whether or not citation is in conformance with	th MPEP 609. Dray	w line	throug	h citation if not in conformance

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STATEMENT BY APPLICANT	First Named Inventor	James M. Wilson, M.D., Ph.D.	
(Use as many sheets as necessary)	Group Art Unit	1635	
	Examiner Name	Brian A. Whiteman	
Sheet 1 of 1	Attorney Docket Number	GNV31AUSA/K1774CON	

			U.S. PATENT DOCUMENT	75	
		Document Number		Name of	
Examiner Initials*	Cite No.1	Number-Kind Code ^{2(if} known)	Publication Date MM-DD-YYYY	Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
D~	CCC	US-2002-0159978 A1	10-31-2002	Allen-	

	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	T
	No.1	Country Code ³ - Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	<u> </u>
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	STATI	EME	NT BY	Group Art Unit	1635	
	APPLICANT (Use as many sheets as necessary)		Examiner Name	Brian A. Whiteman		
Sheet	1	of	2	Attorney Docket Number	GNV31AUSA/ UPN-K1774CON	

		U.S. F	PATE	NT DOCUMENTS			
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	No.1	Number-Kind Code ^{2(I/knows)}		MM-DD-YYYY	or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
PV	FFF	US-2004-0057932 A	1	03-25-2004	Wilson et al		
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R	ННН	US-6,759,237 F	31	07-06-2004	Wilson et al		

		FOREIGN P	ATENT DOCUMENTS			
_		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where	T6
Examiner Initials*	Cite No. ¹	Country Code³- Number⁴-Kind Cade⁵(If known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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Examiner Signature	& DATE	Date Considered	11/38105

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Substitut	e for form	1449/PT)	Complete if Known		
	TNF	ORMA	TION	Application Number	10/696,900	
		CLOS		Filing Date	October 30, 2003	
STATEMENT BY			-	First Named Inventor	James M. Wilson	
	APPLICANT			Group Art Unit	1635	
(Use as m	any shee	ts as necessary)	Examiner Name	Brian A. Whiteman	
Sheet	2	of	2	Attorney Docket Number	GNV31AUSA/ UPN-K1774CON	

JJJ GAO ET AL, Clades of Adeno-Associated viruses are widely Disseminated in Human Tissues, Journal of Virology, Vol. 78, N pp. 6381-6388, (June 2004) JJJ GAO ET AL, Erythropoietin Gene Therapy Leads to Autoimmune Anemia in Macaques, Blood, Vol. 103, No. 9, (May 1, 2004)	To. 12,
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(1714) 1, 2001)	,
KKK RICK ET AL, Congenital Bleeding Disorders, American S of Hematology, pp. 559-574, (2003)	Society

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contains a valid OMB control number. Substitute for form 1449/PTO Complete if Known 10/696,900 **Application Number INFORMATION** October 30, 2003 Filing Date **DISCLOSURE** First Named James M. Wilson STATEMENT BY Inventor **APPLICANT** 1635 Group Art Unit (Use as many sheets as necessary) Brian A. Whiteman **Examiner Name** GNV31AUSA/ Attorney Docket of 1 Sheet 1 UPN-K1774CON Number

Examiner Initials*	Cite No.1	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), columeousse number(s), publisher, city and/or country where published	T²
h	DDD	SURACE ET AL, Delivery of Adeno-Associated Virus Vectors to the Fetal Retina: Impact of Viral Capsid Proteins on Retinal Neuronal Progenitor Transduction, Journal of Virology, Vol. 77, No. 14, pp. 7957-7963, (July 2003)	
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through citation	If not in conformance and not considered	d. Include cany of this form with next can	omunication to

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Substitute for form 1449/PTO TRADE				Application Number	10/696,900		
INF	ORMA	TION	DISCLOSURE	Filing Date	October 30, 2003		
STA	ATEME	NT BY	APPLICANT	First Named Inventor	Wilson et al		
				Group Art Unit	1635		
	(Use as ma	ny sheets a	is necessary)	Examiner Name	Brian A. Whiteman		
Sheet	1	of	1	Attorney Docket Number	GNV31AUSA/K1774CON		

		NONPATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
M	LLL	ZHI ET AL, Increased Antigen-Specific Humoral Response by Adenoviral Vector Prime and Adeno-Associated Viral Vector Boost, 7 th Annual Meeting of the American Society of Gene Therapy [June 2-6, 2004] Minneapolis, Minnesota, (e-publ. May 2, 2004)	
p	MMM	BOYER ET AL, Anti-SARS Humoral And Cellylar Immunity Evoked by Adenovirus Vector Expressing Spike Glycoprotein from SARS Coronavirus, Abstract 558, 7 th Annual Meeting of the American Society of Gene Therapy [June 2-6, 2004] Minneapolis, Minnesota, (e-publ. May 2, 2004)	
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Examiner Signature BACA A	Date Considered	11/7010

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Sheet 1 of 8

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	U.S. PATENT DOCUMENTS							
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1		Α	US-6,387,368 B1	05-14-2002	Wilson et al			
		В	US-6,274,354 B1	08-14-2001	Wilson et al			
		C	US-6,482,634 B1	11-19-2002	Wilson et al			
		D	US-6,475,769 B1	11-05-2002	Wilson et al			
		E	US-6,365,394 B1	04-02-2002	Gao et al			
N	-	F	US-6,399,385 B1	06-04-2002	Croyle et al			

		FOREIG	N PAT	TENT DOCUMENTS			
		Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where	Тę
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ba	G	PCT WO03/093440	A2	11-13-2003	University		
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	H	PCT WO03/092598	A2	11-13-2003	University		
					of Florida		
BC	I	PCT WO98/11244	A2	03-19-1998	J. Chiorini		

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12~	J	US-5,871,982 B1	02-16-1999	J. Wilson	
	K	US-6,258,595 B1	07-10-2001	G. Gao	
	L	US-6,274,354 B1	08-14-2001	J. Wilson	
R	M	US-6,251,677 B1	06-26-2001	J. Wilson	

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Examiner Initials*	Cite No.1	Country Code ³ - Number ⁴ -Kind Code ⁵ (<i>(K known</i>)	MM-DD-YYYY	Applicant of Cited Document		
6,0	N	PCT WO96/13598 A2	05-09-1996	J. Wilson		
	0	PCT WO98/10088 A1	03-12-1998	J. Wilson		
	P	PCT WO98/10086 A1	03-12-1998	J. Wilson		
	Q	PCT WO99/15677 A1	04-01-1999	J. Wilson		
	R	PCT WO99/14354 A1	03-25-1999	J. Wilson		
1	S	PCT WO99/15685 A1	04-01-1999	J. Wilson		
	T	PCT WO99/47691 A1	09-23-1999	G. Gao		
	U	PCT WO00/55342 A1	09/21/2000	G. Gao		
B	V	PCT WO00/75353 A1	12-14-2000	W. Xiao		

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2~	W	US-6,156,303	12-05-2000	Russell et al			
Ŕ	X	US-5,622,856	04-22-1997	Natsoulis			

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77	Y	PCT WO01/23597 A2	04-05-2001	G. Gao			
	Z	PCT WO01/23001 A2	04-05-2001	M. Croyle			
	AA	PCT WO01/83692 A2	11-08-2001	M. Hildinger			
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Application Number	09/807,802				
Filing Date	April 17, 2001				
First Named Inventor	James M. Wilson				
Group Art Unit	1635				
Examiner Name	Brian A. Whiteman				
Attorney Docket Number	GNV31AUSA/UPN-K1774				

		OTHER PRIOR ART-NONPATENT LITERATURE DOCUMENTS	
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Pr	MM	R. SNYDER ET AL, Persistent and Therapeutic Concentrations of Human Factor IX in Mice After Hepatic Gene Transfer of Reocmbinant AAV Vectors, Nature Genetics, 16:270-276 (July, 1997)	
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P~	RR	K. BERNS, Parvovirus Replication, Microbiological Reviews, 54(3):316-329 (September, 1990)	

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RV.	YY	ROSE ET AL, Microbiology, Vol. 546, Pages, 86-92, (1966)	
	ZZ	ANDERSON ET AL, Nature, Vol. 392, pages, 25-30, (1998)	
	AAA	VERMA, Nature, Vol. 389, pages 239-242, (1997)	1
R-	BBB	ORKIN ET AL, Report and Recommendations of the Panel to Assess the NIH Investments in Research on Gene Therapy, issue by the National Institute of Health, (December 7, 1995)	

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